

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In patent application of
Yoshihara

Serial No. 09/283,970

Group Art Unit: 2681

Filed: April 2, 1999

Examiner: S. Trinh

For: PORTABLE TELEPHONE CAPABLE OF INCREASING FACILITY OF
OPERATION

Assistant Commissioner for Patents
Washington, D.C. 20231

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APR 03 2003

DIRECTOR'S OFFICE
TECHNOLOGY CENTER 2600

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**PETITION TO REVIVE APPLICATION FROM ABANDONMENT AND
REQUEST TO RE-MAILING OF NOTICE OF ALLOWANCE AND NOTICE
OF ALLOWABILITY**

Dear Sir:

Applicant hereby petitions to revive the above-identified application, or to vacate any abandonment of the application which has occurred, or may occur. The Notification of Abandonment dated March 27, 2002, states that the Applicant failed to respond to the Notice of Allowance and Allowability mailed August 13, 2001. Applicant respectfully requests that the Notice of Allowance and Allowability be re-mailed since the Notices were never received.

The Applicant did not receive the respective Notices in the above-identified application, or any other communication dated August 13, 2001 from the USPTO regarding this application. As such, it appears that the abandonment was unavoidable or, alternatively unintentional, and was due to a mailing error on the part of the USPTO, or U.S. Postal Service, and therefore, no fees for reinstating this application should be due. If, however, fees for reinstating the application are required, the Commissioner is authorized to charge attorney's deposit account 50-2041 (Whitham, Curtis & Christofferson, PC).

Through telephone calls to Examiner S. Trinh he graciously obtained the file and sent a copy of the Notice of Allowability to the undersigned by facsimile, at the request of the undersigned. A copy is attached as Exhibit A to the Declaration of Mr.

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GA

Whitham.

As evidence that the Notice of Allowance and Allowability were not received in the offices of Whitham, Curtis & Christofferson, PC, or its predecessor firm, McGuireWoods, LLP, the undersigned appends hereto the declaration of Mr. Michael E. Whitham (partner of Whitham, Curtis & Christofferson, PC). This declaration establishes the following:

- 1) Whitham, Curtis & Christofferson, PC and its' predecessor firm, McGuireWoods, LLP have a multiply redundant docketing procedure requiring entry of office actions, including Notice of Allowance and Allowability materials, for both reminder dates and final due dates, into a widely recognized commercial patent docketing system available from Computer Packages, Inc., manual entry of final due dates in a handwritten (manual) ledger and docket file cards, as well as docket reminders maintained by individual attorneys and their secretaries. At the time of manual entry, the computer entered dates are cross-checked;
- 2) Mr. Whitham is directly involved with the supervision of the persons responsible for handling mail received by the firm and was directly involved with the supervision of person responsible for handling the mail received by Whitham, Curtis & Christofferson, PC and its predecessor firm, McGuireWoods, LLP.
- 3) The Notice of Allowance and Allowability as evidenced by the facsimile copy in Exhibit A mailed August 13, 2001, were not received in our office on those dates because no entries for these communications were made in any of the manual ledger, computer ledger or the docketing card file, while all of the docketing systems are completely consistent in regard to other actions including other separate actions pending in other applications on that date.

Specifically, pages from the computer ledger are attached to the Declaration as Exhibit B, as follows:

- A computer record of actions due for the above-identified application, case number 01600050AA, application number 09/283,970. This record shows that a Notice of Allowance and Allowability and due dates for payment of the Issue Fee were not entered into the computer database.
- A computer record of actions due for case number 02940069AA. This case is not related to the above-identified application, but page 2 of the record as highlighted shows that a Notice of Allowance and Notice of Allowability was mailed on August 13, 2001, with actions docketed for response dates of September 13, 2001 (Reminder), October 13, 2001 (Due Date), and November 13, 2001 (Final).
- A computer record of actions due for case number 00280448AA. This case is not related to the above-identified application, but page 2 of the record as highlighted shows that a Notice of Allowance and Notice of Allowability was mailed on August 13, 2001, with actions docketed for response dates of September 13, 2001 (Reminder), October 13, 2001 (Due Date), and November 13, 2001 (Final).
- A computer record of actions due for case number 00280420PA. This case is not related to the above-identified application, but page 2 of the record as highlighted shows that a Notice of Allowance and Notice of Allowability was mailed on August 13, 2001, with actions docketed for response dates of September 13, 2001 (Reminder), October 13, 2001 (Due Date), and November 13, 2001 (Final).
- A computer record of all applications with a US Notice of Allowance and Notice of Allowability having Action Base Date of August 13, 2001 is attached as Exhibit C. These records show that actions for the following case numbers were received and appropriate reminders docketed:

- 00280420PA - US Notice of Allowance/Allowability
- 00280448AA - US Notice of Allowance/Allowability
- 02940069AA - US Notice of Allowance/Allowability
- A Notice of Allowance and Allowability mailed August 13, 2001, would have a due date of November 13, 2001. A computer record of actions for all Office Actions with a due date of November 13, 2001 is attached as Exhibit D and shows that due dates for the following case numbers were docketed;
 - 05270001AA US-30Day Action (5-mo extension of time)
 - 02940153AA US-Missing Parts (3-mo extension of time)
 - 02940108AA US-30Day Action (4-mo extension of time)
 - 04500002AA US-3mo Office Action (1-mo extension of time)
 - 00380327AA US-3moFinal Office Action (1-mo extension of time)
 - 00280448AA US-Allowance (due date)
 - 00280448AA US-Allowability (due date)
 - 00280420PA US-Allowance (due date)
 - 00280420PA US-Allowability (due date)
 - 02940069AA US-Allowance (due date)
 - 02940069AA US-Allowability (due date)
 - 06920001AA US-Missing Parts (1-mo extension of time)
 - 03830031AA US-3mo Office Action (3-mo due date)
 - 04460007AA US-Allowance (1 month to due date- internal reminder)
 - 02230028AA US-Notice of Omitted Items (2 month to due date)
 - 02890034AA US-Notice to File Corrected Application Papers (2-mo due date)
 - 00280544AA US-3mo Office Action (1-month to due date-internal reminder)
 - 02090034AA US-3mo Office Action (1-month to due date-internal reminder)

The page from the manual ledger for the date of November 13, 2001 attached to the Declaration as Exhibit E which shows that no entry for final due date was made into the manual ledger for the above-identified application.

A copy the file card kept by the docketing clerk for actions received are attached to the Declaration as Exhibit F for the 02940069AA, 00280448AA and 00280420PA applications where Notices of Allowance and Allowability were mailed August 13, 2001. These cases are docketed in the computer ledger and manual ledger and have entries indicating a mailing date of August 13, 2001 and a due date of November 13, 2001 for payment of Issue Fee and filing drawings. An examination of the file card for the above-identified case (01600050AA) attached as Exhibit G, shows no entry for payment of the Issue Fee thus, indicating that the Notice of Allowance and Allowability were not received by Whitham, Curtis & Christofferson, PC. Or its predecessor firm McGuireWoods, LLP.

In view of above, the Applicant requests that any Notice of Abandonment be withdrawn or the application revived as unavoidable, or in the alternative, unintentional, abandonment and that the respective Notice of Allowance and Allowability be re-mailed so that Applicant will have an opportunity to submit the requisite documentation and fees.

Respectfully submitted,



Michael E. Whitham
Registration No. 32,635
703-787-9400



30743

PATENT TRADEMARK OFFICE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of
S. Yoshihara

Serial No. 09/283,970

Group Art Unit: 2681

Filed: April 2, 1999

Examiner: S. Trinh

For: PORTABLE TELEPHONE CAPABLE OF INCREASING FACILITY OF
OPERATION

Assistant Commissioner for Patents
Washington, D.C. 20231

DECLARATION OF MR. MICHAEL E. WHITHAM

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The undersigned declares as follows:

- 1) I am the responsible supervising attorney for docketing and mail handling personnel at Whitham, Curtis & Christofferson, PC and its predecessor firm, McGuireWoods, LLP, prior to and at all times since August 2000. I personally supervise docketing and manual correspondence handling procedures at the firm.
- 2) Whitham, Curtis & Christofferson, PC and McGuireWoods, LLP had a multiply redundant docketing procedure which is described in the Appended Exhibit H which is the documented procedure for Docketing Procedures at Whitham, Curtis & Christofferson, PC and McGuireWoods, LLP. I was directly involved with the supervision of handling mail forwarded to Whitham, Curtis & Christofferson, PC and McGuireWoods, LLP, and in the supervision of docketing entry procedures discussed in the appended Exhibit H. McGuireWoods, LLP and Whitham, Curtis & Christofferson have a contract which transfers all official mail for prosecution by Whitham, Curtis & Christofferson on a daily basis by a professional courier. I personally supervise Caroline M. Atkins in regard to handling of correspondence and docketing.
- 3) I have reviewed the Whitham, Curtis & Christofferson, PC file associated with the above-identified patent application and have determined:

- a) there is no original copy of a Notice of Allowance and Allowability dated August 13, 2001 in the file and
- b) no such Notices for the above identified patent application have been received in the office of Whitham, Curtis & Christofferson, PC or McGuireWoods, LLP.

Assuming the respective Notices were mailed from the U.S. Patent and Trademark Office on August 13, 2001, as stated on the Notice of Abandonment mailed March 27, 2002, we would have docketed the due date of the Response in both the manual and computer ledgers, as well as the docketing clerk card file, as follows:

- The dates of September 13, 2001 (reminder), October 13, 2001 (due date), and November 13, 2001 (final due date) would have been entered into the computer ledger.
- The 3-month final due date of November 13, 2001 would have been entered into the manual ledger book; and
- The 2-month due date for payment of the Issue Fee of October 13, 2001 would have been entered on the docketing file card as the due date to serve as a reminder.

I indirectly supervise the handling of all mail according to the docketing procedures set forth in Exhibit H on and following August 13, 2001. Attached hereto as Exhibit C and D respectively, are copies of computer printouts from the computer ledger that show all of the Office Action computer entries which were made at Whitham, Curtis & Christofferson, PC and McGuireWoods, LLP, for cases with actions mailed on August 13, 2001, and cases with actual due dates of November 13, 2001. Also included in Exhibit B is a printout of all actions for the above-identified case. These entries are entered and checked daily by the Office Manager, Caroline M. Atkins. It can be seen from these entries that no entry for the payment of the Issue Fee due date in the aforementioned Notice of Allowance and Allowability for the above-identified application was made. Furthermore, regardless of when an

action is received, the final due date would be manually docketed in the handwritten ledger.

Attached as Exhibit E, is a copy of a ledger page for November 13, 2001 from the handwritten ledger docket book kept at Whitham, Curtis & Christofferson, PC, formerly McGuireWoods, LLP. This page indicates other due dates for Issue Fee payment mailed August 13, 2001 for dockets 02940069AA, 00280448AA and 00280420PA. These manual ledger entries are regularly made and checked against the file card entries and the computer docketing system by the Office Manager, immediately after the mail is opened each day. It can be seen from these pages that no Notice of Allowance or Allowability for the above identified application was entered for that day, while entries appear for similar actions in other applications.

An examination of the file card for the above-identified case (01600050AA), as shown in Exhibit G, shows no entry for a Response due on November 13, 2001 thus, indicating that the Notices of Allowance and Allowability were not received by Whitham, Curtis & Christofferson, PC or McGuireWoods, LLP.

Attached as Exhibit A is a facsimile copy of the Notice of Allowability as sent to Whitham, Curtis & Christofferson, PC by Examiner Trinh in response to a telephone request to the Examiner for the same. This facsimile is the only evidence in my possession that any Notice Allowability had been sent to Applicant via its legal representative, Whitham, Curtis & Christofferson, PC. All of the manual ledger, the docketing file cards, and the automated docketing system are in exact agreement and do not show receipt of the respective Notice for the above-identified application. However, receipt and correct handling of similar papers, is evident. Therefore, I conclude that no Notice of Allowance nor Notice of Allowability for the above-identified application were received.

4) I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and such willful false statements may jeopardize the validity of the application and any patent issuing thereon.

Date: September 16, 2002



Michael E. Whitham
Partner
Whitham, Curtis & Christofferson, PC

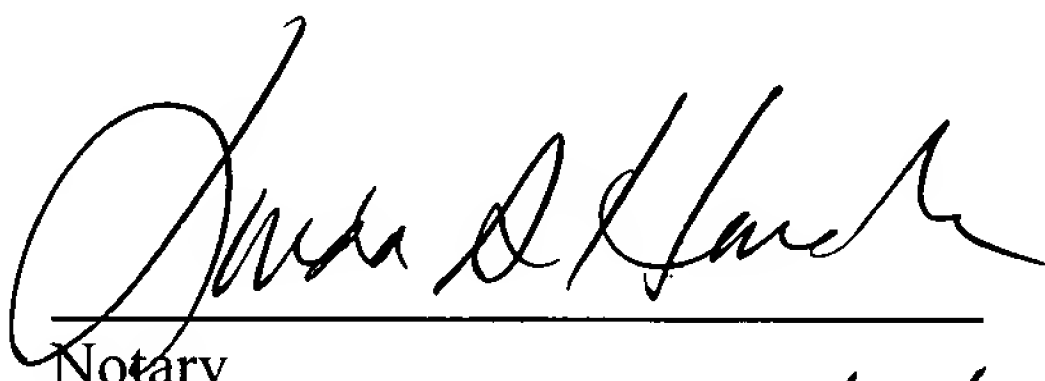


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PATENT TRADEMARK OFFICE

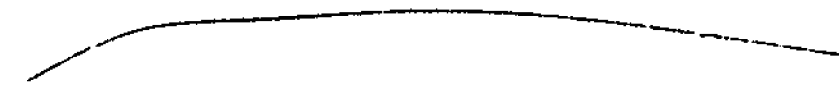
On this 9/16, 2002, before me personally came Michael E. Whitham to me personally known, and known to me to be the person described in and who executed the foregoing declaration, and he executed the same as his free act and deed.

State Of Virginia
County of Fairfax



Notary

My commission expires: 12/31/03





01800050AA

Serial No: 09/283,970

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

S. Yoshihara

Serial No. 09/283,970

Group Art Unit: 2681

Filed: April 2, 1999

Examiner: S. Trinh

For: PORTABLE TELEPHONE CAPABLE OF INCREASING FACILITY
OF OPERATION

Assistant Commissioner for Patents

Washington, D.C. 20231

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DECLARATION OF CAROLINE M. ATKINS

The undersigned declares as follows:

1) Caroline M. Atkins is the Office Manager and Supervisor of the Docketing system at Whitham, Curtis & Christofferson, PC and was the Supervisor of the Docketing System at the predecessor law firm of McGuireWoods, LLP.

Caroline Atkins has been employed with Whitham, Curtis & Christofferson, PC, its predecessor firm of McGuireWoods, LLP and that firm's predecessor, Whitham, Curtis & Whitham, in the Intellectual Property Department since 1996 and has been the Supervisor since May, 2000.

2) Whitham, Curtis & Christofferson, PC, and its predecessor firms had a multiply redundant docketing procedure which is described in the Appended Exhibit H. Ms. Atkins is directly involved in handling all mail forwarded to Whitham, Curtis & Christofferson, PC and served in a similar capacity at the predecessor firms, and in the docketing entry procedures discussed in the docketing procedure described in the appended Exhibit H.

3) Ms. Atkins has reviewed the Whitham, Curtis & Christofferson, PC file associated with the above-identified patent application and has determined:

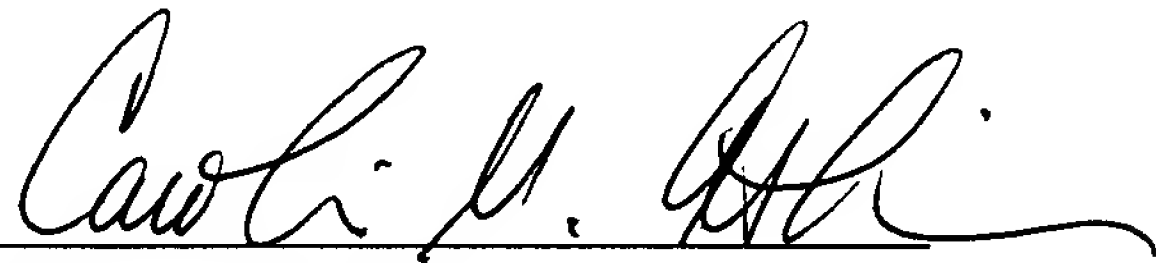
- a) there is no original copy of a Notice of Allowance nor Notice of Allowability in the file, and
- b) no such Notices for the above identified patent application have been received in the office of Whitham, Curtis & Christofferson, PC or its predecessor firm.

Assuming the respective Notices were mailed from the U.S. Patent and Trademark Office on August 13, 2001, we would likely have received the Notices some time between August 14, 2001 and August 23, 2001. Attached hereto as Exhibit I are copies of computer printouts that show all of the Office Action computer entries which were made between August 14, 2001 and August 23, 2001. It can be seen from these entries that no entry of any Notice of Allowance or Allowability for the above referenced application were made.

Additionally, Whitham, Curtis & Christofferson, PC (as well as that of the predecessor firms) docketing policy is such that the final due date (with all extensions included) is docketed in the same manner. Attached as Exhibit J are copies of pages for November 14, 2001 through November 23, 2001 of the handwritten ledger kept at Whitham, Curtis & Christofferson, PC. It can be seen from these pages that any Notice of Allowance or Allowability for the above identified application were not logged in.

4) I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and such willful false statements may jeopardize the validity of the application and any patent issuing thereon.

Date: 9-17-02



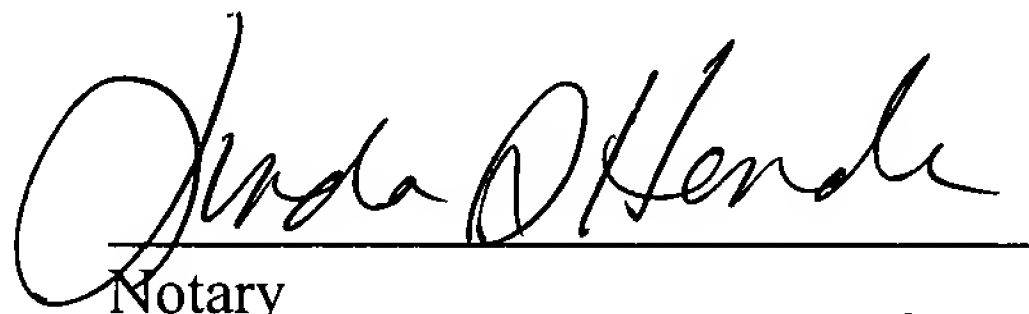
Caroline M. Atkins

Office Manager

Whitham, Curtis & Christofferson, PC

On this 9/17, 2002, before me personally came Caroline M. Atkins personally known, and be known to me to be the person described in and who executed the foregoing declaration, and she executed the same as her free act and deed.

State Of Virginia
County of Fairfax



Notary

My commission expires: 12/31/03



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EXHIBIT A

Notice of Allowability

Application No.

09/283,970

Applicant(s)

YOSHIHARA, SHIGEO

Examiner

Sonny TRINH

Art Unit

2681

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment received 05/23/2001.
2. ☒ The allowed claim(s) is/are 1-12.
3. ☐ The drawings filed on _____ are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.
5. ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
 - (a) ☐ The translation of the foreign language provisional application has been received.
6. ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. **THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

7. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
8. ☐ CORRECTED DRAWINGS must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No. _____.
 - (b) ☐ including changes required by the proposed drawing correction filed _____, which has been approved by the Examiner.
 - (c) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No. _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the top margin (not the back) of each sheet. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

9. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|--|---|
| 1 <input type="checkbox"/> Notice of References Cited (PTO-892) | 2 <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3 <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 4 <input type="checkbox"/> Interview Summary (PTO-413), Paper No. _____ |
| 5 <input type="checkbox"/> Information Disclosure Statements (PTO-1449), Paper No. _____ | 6 <input type="checkbox"/> Examiner's Amendment/Comment |
| 7 <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit of Biological Material | 8 <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance |
| | 9 <input type="checkbox"/> Other |

Application/Control Number: 09/283,970

Art Unit: 2681

Page 2

Allowable Subject Matter

1. Claims 1-12 are allowed with claims 4-12 allowed by a previous Office Action (paper number 4).

The following is an examiner's statement of reasons for allowance:

The present invention is directed to adjusting an amplification amount of the voice signal depending on whether or not the data from the base station includes both the voice signal and the image datum. The closest prior art, Harris (US 6,009,336) shows a similar system which comprises a wireless device such as a cellular radiotelephone that has video capability and speaker phone so that a user can hear and watch the video data at the same time. However, Harris fails to "adjust an amplification amount applied to the voice signal depending on whether or not the data transmitted to the portable telephone from the base station in an establishment time of a data link include a discrimination datum which represents both voice signal and image datum". This distinct feature has been added to the independent claim 1 and renders it allowable.

Claims 2-3 are allowed as being depended upon independent claim 1.

Conclusion

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany

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Art Unit: 2681

Page 3

the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 872-9314, (for formal communications intended for entry, for informal or draft communications, please label "PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington, VA., Sixth Floor (Receptionist).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sonny Trinh whose telephone number is (703) 305-1961. The examiner can normally be reached Monday through Thursday from 7:00 a.m. to 4:00 p.m., and on alternate Fridays.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-4700.

Sonny Trinh S.T.

Patent Examiner

07/31/01



DWAYNE BOST
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2600

EXHIBIT B

Country Application

11-Sep-02

Case Number: 01600050A **Country:** US **SubCase:**
Client: MARUYAMA PAT. OFFICE United States of America
Case Type: ORD **Application Status:** Pending **Area(s):**
WO
Application Number: 09/283,970 **Filing Date:** 02-Apr-1999
Patent Number: **Issue Date:**
Publication Number: **Publication Date:**
Tax Schedule: LE **Expiration Date:**
Tax Start Date:

Agent:
Agent Reference Number:

List Of Actions

Action(s) Du	Due Date		Action Taken
1 MONTH REMINDER	15-Apr-1999	Reminder	02-Apr-1999
1 MONTH REMINDER	30-Apr-1999	Final	02-Apr-1999
FILING RECEIPT	02-Jun-1999	Reminder	05-May-1999
Information Disclosure Stmt	02-Jul-1999	Due Date	14-Sep-1999
EUROPEAN SEARCH	24-Sep-1999	Reminder	14-Sep-1999
1 MONTH REMINDE Follow Up Date	02-Oct-1999	Due Date	02-Apr-1999
FILING RECEIPT	02-Oct-1999	Final	05-May-1999
Foreign Filing Reminder	02-Oct-1999	Due Date	05-May-1999
Foreign Filing Follow Up Date	05-Nov-1999	Due Date	05-May-1999
EUROPEAN SEARCH	24-Nov-1999	Final	14-Sep-1999
Information Dis Follow Up Date	14-Mar-2000	Due Date	13-Mar-2000
Foreign Filing Due	02-Apr-2000	Final	05-May-1999
Application Status Check	02-Oct-2000	Due Date	
DRAFT DUE DATE	01-Apr-2001	Reminder	03-Apr-2001
5 DAYS PAST DRAFT DUE DT	08-Apr-2001	Final	03-Apr-2001
1 Month to Due Date	14-May-2001	Reminder	23-May-2001
3 Month Due Date	14-Jun-2001	Due Date	23-May-2001
1st Extension	14-Jul-2001	Reminder	23-May-2001
2nd Extension	14-Aug-2001	Reminder	23-May-2001
2 Weeks to Final Date	29-Aug-2001	Due Date	23-May-2001
6 Month Final	14-Sep-2001	Final	23-May-2001
DRAFT DUE TO FO Follow Up Date	03-Oct-2001	Due Date	03-Apr-2001

Country Application

11-Sep-02

User ID: Paula

Date Created: 30-Mar-1999

Last Update: 13-Apr-1999

Country Application

11-Sep-02

Case Number: 02940069A **Country:** US **SubCase:**
Client: VIRGINIA COMMONWEALTH U United States of America
Case Type: ORD **Application Status:** ISSUED **Area(s):**
WO
Application Number: [REDACTED] **Filing Date:** 12-Mar-1999
Patent Number: 6,334,121 **Issue Date:** 25-Dec-2001
Publication Number: **Publication Date:**
Tax Schedule: LE **Expiration Date:** 12-Mar-2019
Tax Start Date:

Agent:
Agent Reference Number:

List Of Actions

Action(s) Du	Due Date		Action Taken
1 MONTH REMINDER	10-Feb-1999	Reminder	19-Mar-1999
1 MONTH REMINDER	28-Feb-1999	Final	19-Mar-1999
FILING RECEIPT	12-May-1999	Reminder	18-May-1999
Information Disclosure Stmt	12-Jun-1999	Due Date	
FILING RECEIPT	12-Sep-1999	Final	18-May-1999
Foreign Filing Reminder	12-Sep-1999	Due Date	
1 MONTH REMINDE Follow Up Date	19-Sep-1999	Due Date	19-Mar-1999
FOREIGN FLNG REM 9 MO	12-Dec-1999	Reminder	
FOREIGN FLNG REM 10 MO	12-Jan-2000	Reminder	
FOREIGN FLNG REM 11 MO	12-Feb-2000	Due Date	
Foreign Filing Due	12-Mar-2000	Final	
Application Status Check	12-Sep-2000	Due Date	
1 Month to Due Date	13-May-2001	Reminder	01-Aug-2001
3 Month Due Date	13-Jun-2001	Due Date	01-Aug-2001
1st Extension	13-Jul-2001	Reminder	01-Aug-2001
2nd Extension	13-Aug-2001	Reminder	01-Aug-2001
2 Weeks to Final Date	28-Aug-2001	Due Date	01-Aug-2001
6 Month Final	13-Sep-2001	Final	01-Aug-2001
ISSUE FEE DUE	13-Sep-2001	Reminder	
2MON DRAWING	13-Oct-2001	Due Date	
ISSUE FEE DUE	13-Oct-2001	Due Date	
3MON DRWNG	13-Nov-2001	Final	

Country Application

11-Sep-02

ISSUE FEE DUE	13-Nov-2001	Final
Tax 3.5	25-Jun-2005	Due Date
Tax 7.5	25-Jun-2009	Due Date
Tax 11.5	25-Jun-2013	Due Date

Remarks: PER JONI AND DR FRANSON VCU WILL NOT BE PURSUING
FOREIGN FILINGS IN THIS CASE.

User ID: Carrie

Date Created: 28-Jan-1999

Last Update: 02-Jan-2002

Country Application

11-Sep-02

Case Number: 00280448A	Country: US	SubCase:
Client: IBM Yorktown	United States of America	
Case Type: UOR	Application Status: ISSUED	Area(s):
Application Number: 09/241,991	Filing Date: 02-Feb-1999	WO
Patent Number: 6,334,141	Issue Date: 25-Dec-2001	
Publication Number:	Publication Date:	
Tax Schedule: LE	Expiration Date: 02-Feb-2019	
	Tax Start Date:	
Agent:		
Agent Reference Number:		

List Of Actions

Action(s) Du	Due Date		Action Taken
FILE APPLICATION BY	01-Dec-1998	Reminder	02-Feb-1999
FILE APPLICATION BY	16-Dec-1998	Final	02-Feb-1999
MISSING PARTS	22-Mar-1999	Reminder	09-Mar-1999
FILING RECEIPT	02-Apr-1999	Reminder	24-Feb-1999
MISSING PARTS	22-Apr-1999	Due Date	09-Mar-1999
INFORMATION DISCLOSURE	02-May-1999	Due Date	
1 MON EXTENSION	22-May-1999	Reminder	09-Mar-1999
2 MON EXTENSION	22-Jun-1999	Reminder	09-Mar-1999
3 MON EXTENSION	22-Jul-1999	Reminder	09-Mar-1999
FILE APPLICATIO Follow Up Date	02-Aug-1999	Due Date	02-Feb-1999
FILING RECEIPT	02-Aug-1999	Final	24-Feb-1999
MISSING PARTS	22-Aug-1999	Final	09-Mar-1999
APPLICATION STATUS CHECK	02-Aug-2000	Due Date	
STATUS CHECK	16-Feb-2001	Due Date	
STATUS CHECK	16-Apr-2001	Final	
1 Month to Due Date	13-May-2001	Reminder	22-May-2001
3 Month Due Date	13-Jun-2001	Due Date	22-May-2001
1st Extension	13-Jul-2001	Reminder	22-May-2001
2nd Extension	13-Aug-2001	Reminder	22-May-2001
2 Weeks to Final Date	28-Aug-2001	Due Date	22-May-2001
6 Month Final	13-Sep-2001	Final	22-May-2001
ISSUE FEE DUE	13-Sep-2001	Reminder	22-Aug-2001

Country Application

11-Sep-02

2MON DRAWING	13-Oct-2001	Due Date	22-Aug-2001
ISSUE FEE DUE	13-Oct-2001	Due Date	22-Aug-2001
3MON DRWNG	13-Nov-2001	Final	22-Aug-2001
ISSUE FEE DUE	13-Nov-2001	Final	22-Aug-2001
6 MONTH CALL BACK	22-Feb-2002	Due Date	
TAX 3.5	25-Jun-2005	Due Date	
TAX 7.5	25-Jun-2009	Due Date	
TAX 11.5	25-Jun-2013	Due Date	

User ID: Carrie

Date Created: 16-Nov-1998

Last Update: 02-Jan-2002

Country Application

11-Sep-02

Case Number: 00280420PA	Country: US	SubCase:
Client: IBM Yorktown	United States of America	
Case Type: CIP	Application Status: ISSUED	Area(s):
Application Number: 09/679,033	Filing Date: 04-Oct-2000	WO
Patent Number: 6,333,703	Issue Date: 25-Dec-2001	
Publication Number:	Publication Date:	
Tax Schedule: LE	Expiration Date: 24-Nov-201	
	Tax Start Date:	
Agent:		
Agent Reference Number:		

List Of Actions

Action(s) Du	Due Date	Action Taken	
Foreign Filing Reminder	24-May-1999	Due Date	
Foreign Filing Due	24-Nov-1999	Final	
Information Disclosure Stmt	04-Jan-2001	Due Date	
MISSING PARTS	07-Jan-2001	Reminder	17-Jan-2001
MISSING PARTS	07-Feb-2001	Due Date	17-Jan-2001
1 MON EXTENSION	07-Mar-2001	Reminder	17-Jan-2001
FILING RECEIPT	04-Apr-2001	Final	07-Dec-2000
2 MON EXTENSION	07-Apr-2001	Reminder	17-Jan-2001
3 MON EXTENSION	07-May-2001	Reminder	17-Jan-2001
1 Month to Due Date	13-May-2001	Reminder	12-Jun-2001
MISSING PARTS	07-Jun-2001	Final	17-Jan-2001
3 Month Due Date	13-Jun-2001	Due Date	12-Jun-2001
FOREIGN FLNG REM 9 MO	04-Jul-2001	Reminder	
1st Extension	13-Jul-2001	Reminder	12-Jun-2001
FOREIGN FLNG REM 10 MO	04-Aug-2001	Reminder	
2nd Extension	13-Aug-2001	Reminder	12-Jun-2001
2 Weeks to Final Date	28-Aug-2001	Due Date	12-Jun-2001
FOREIGN FLNG REM 11 MO	04-Sep-2001	Due Date	
6 Month Final	13-Sep-2001	Final	12-Jun-2001
ISSUE FEE DUE	13-Sep-2001	Reminder	22-Aug-2001
2MON DRAWING	13-Oct-2001	Due Date	22-Aug-2001
ISSUE FEE DUE	13-Oct-2001	Due Date	22-Aug-2001

Country Application

11-Sep-02

3MON DRWNG	13-Nov-2001	Final	22-Aug-2001
ISSUE FEE DUE	13-Nov-2001	Final	22-Aug-2001
6 MONTH CALL BACK	22-Feb-2002	Due Date	
Application Status Check	04-Apr-2002	Due Date	03-Apr-2002
Application Sta Follow Up Date	03-Oct-2002	Due Date	03-Apr-2002
Tax 3.5	25-Jun-2005	Due Date	
Tax 7.5	25-Jun-2009	Due Date	
Tax 11.5	25-Jun-2013	Due Date	

Remarks: billing no. is 1100028-0539

User ID: Carrie

Date Created: 12-Oct-2000

Last Update: 02-Jan-2002

EXHIBIT C

Actions Due

11-Sep-2002

Case Number: 00280420PA**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 04-Oct-2000**Status :** ISSUED**Action Type:** US-ALLOWANCE**Action Base Date:** 13-Aug-2001**Response sent date:** 22-Aug-200

Action(s) Due	Due Date	Indicator	Taken
ISSUE FEE DUE	13-Sep-2001	Reminder	22-Aug-2001
ISSUE FEE DUE	13-Oct-2001	Due Date	22-Aug-2001
ISSUE FEE DUE	13-Nov-2001	Final	22-Aug-2001
6 MONTH CALL BACK	22-Feb-2002	Due Date	

Remarks:

issue fee and drawings send to Forman 8/22/01

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 28-Aug-2001

Actions Due

11-Sep-2002

Case Number: 00280420PA**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 04-Oct-2000**Status :** ISSUED**Action Type:** US-ALLOWABILITY**Action Base Date:** 13-Aug-2001**Response sent date:** 22-Aug-200

Action(s) Due	Due Date	Indicator	Taken
2MON DRAWING	13-Oct-2001	Due Date	22-Aug-2001
3MON DRWNG	13-Nov-2001	Final	22-Aug-2001

Remarks:

issue fee and drawings send to Forman 8/22/01

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 28-Aug-2001

Actions Due

11-Sep-2002

Case Number: 00280448A**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 02-Feb-1999**Status :** ISSUED**Action Type:** US-ALLOWANCE**Action Base Date:** 13-Aug-2001**Response sent date:** 22-Aug-200

Action(s) Due	Due Date	Indicator	Taken
ISSUE FEE DUE	13-Sep-2001	Reminder	22-Aug-2001
ISSUE FEE DUE	13-Oct-2001	Due Date	22-Aug-2001
ISSUE FEE DUE	13-Nov-2001	Final	22-Aug-2001
6 MONTH CALL BACK	22-Feb-2002	Due Date	

Remarks:

issue fee and drawings send to Forman 8/22/01

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 28-Aug-2001

Actions Due

11-Sep-2002

Case Number: 00280448A**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 02-Feb-1999**Status :** ISSUED**Action Type:** US-ALLOWABILITY**Action Base Date:** 13-Aug-2001**Response sent date:** 22-Aug-200

Action(s) Due	Due Date	Indicator	Taken
2MON DRAWING	13-Oct-2001	Due Date	22-Aug-2001
3MON DRWNG	13-Nov-2001	Final	22-Aug-2001

Remarks:

issue fee and drawings send to Forman 8/22/01

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 28-Aug-2001

Actions Due

11-Sep-2002

Case Number: 02940069A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 12-Mar-1999 **Status :** ISSUED**Action Type:** US-ALLOWANCE**Action Base Date:** 13-Aug-2001**Response sent date:**

Action(s) Due	Due Date	Indicator	Taken
ISSUE FEE DUE	13-Sep-2001	Reminder	
ISSUE FEE DUE	13-Oct-2001	Due Date	
ISSUE FEE DUE	13-Nov-2001	Final	

User ID: JONG**Date Created:** 15-Aug-2001**Last Update:** 15-Aug-2001

Actions Due

11-Sep-2002

Case Number: 02940069A

Country: US

SubCase:

Application #: [REDACTED] United States of America

Filing Date: 12-Mar-1999 **Status :** ISSUED

Action Type: US-ALLOWABILITY

Action Base Date: 13-Aug-2001

Response sent date:

Action(s) Due	Due Date	Indicator	Taken
2MON DRAWING	13-Oct-2001	Due Date	
3MON DRWNG	13-Nov-2001	Final	

User ID: JONG

Date Created: 15-Aug-2001

Last Update: 15-Aug-2001

EXHIBIT D

Actions Due

11-Sep-2002

Case Number: 05270001A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 02-Feb-2000 **Status :** Sold/trans**Action Type:** US-30DAY ACTION**Action Base Date:** 15-May-2001**Response sent date:** 11-Jun-2001

Action(s) Due	Due Date	Indicator	Taken
US-30DAY ACTION-20 DAYS	04-Jun-2001	Reminder	11-Jun-2001
US-30DAY ACTION	15-Jun-2001	Due Date	11-Jun-2001
US-30DAY 1MOEOT	14-Jul-2001	Due Date	11-Jun-2001
US-30DAY 2MOEOT	13-Aug-2001	Due Date	11-Jun-2001
US-30DAY 3MOEOT	13-Sep-2001	Due Date	11-Jun-2001
US-30Day 4MOEOT	13-Oct-2001	Due Date	11-Jun-2001
US-30DAY 5MOEOT	13-Nov-2001	Final	11-Jun-2001
US-30DAY ACTION Follow Up Dat	11-Dec-2001	Due Date	

Remarks:

we must respond to non-responsive portions of original restriction requirement. Examiner has given us 30-days from mailing date to supply the omission or correction in order to avoid abandonment. This action is extendable.

ELECTION FILED BY FAX

User ID: Paula**Date Created:** 22-May-2001**Last Update:** 13-Jun-2001

Actions Due

11-Sep-2002

Case Number: 02940153A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 16-Apr-2001 **Status :** Published**Action Type:** US-MISSING PART**Action Base Date:** 13-Jun-2001**Response sent date:** 27-Jul-2001

Action(s) Due	Due Date	Indicator	Taken
MISSING PARTS	13-Jul-2001	Reminder	27-Jul-2001
MISSING PARTS	13-Aug-2001	Due Date	27-Jul-2001
1 MON EXTENSION	13-Sep-2001	Reminder	27-Jul-2001
2 MON EXTENSION	13-Oct-2001	Reminder	27-Jul-2001
3 MON EXTENSION	13-Nov-2001	Reminder	27-Jul-2001
4 MON EXTENSION	13-Dec-2001	Final	27-Jul-2001

User ID: paula**Date Created:** 14-Jun-2001**Last Update:** 02-Aug-2001

Actions Due

11-Sep-2002

Case Number: 02940108A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 20-Apr-2000 **Status :** Abandoned**Action Type:** US-30DAY ACTION**Action Base Date:** 14-Jun-2001**Response sent date:** 11-Jul-2001

Action(s) Due	Due Date	Indicator	Taken
US-30DAY ACTION-20 DAYS	04-Jul-2001	Reminder	11-Jul-2001
US-30DAY ACTION	14-Jul-2001	Due Date	11-Jul-2001
US-30DAY 1MOEOT	13-Aug-2001	Due Date	11-Jul-2001
US-30DAY 2MOEOT	12-Sep-2001	Due Date	11-Jul-2001
US-30DAY 3MOEOT	13-Oct-2001	Due Date	11-Jul-2001
US-30Day 4MOEOT	13-Nov-2001	Due Date	11-Jul-2001
US-30DAY 5MOEOT	13-Dec-2001	Final	11-Jul-2001
US-30DAY ACTION Follow Up Dat	11-Jan-2002	Due Date	10-Jan-2002

User ID: Paula**Date Created:** 20-Jun-2001**Last Update:** 10-Jan-2002

Actions Due

11-Sep-2002

Case Number: 04500002A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 28-Feb-2001 **Status :** Sold/trans**Action Type:** US-3 MONTH OFFICE ACTION**Action Base Date:** 13-Jul-2001**Response sent date:** 10-Jan-2002

Action(s) Due	Due Date	Indicator	Taken
1 Month to Due Date	13-Sep-2001	Reminder	10-Jan-2002
3 Month Due Date	13-Oct-2001	Due Date	10-Jan-2002
1st Extension	13-Nov-2001	Reminder	10-Jan-2002
2nd Extension	13-Dec-2001	Reminder	10-Jan-2002
2 Weeks to Final Date	28-Dec-2001	Due Date	10-Jan-2002
6 Month Final	13-Jan-2002	Final	10-Jan-2002

User ID: Paula**Date Created:** 17-Jul-2001**Last Update:** 10-Jan-2002

Actions Due

11-Sep-2002

Case Number: 00380327A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 04-Feb-1999 **Status :** Abandoned**Action Type:** US-FINAL OFFICE ACTION**Action Base Date:** 13-Jul-2001**Response sent date:** 19-Jul-2002

Action(s) Due	Due Date	Indicator	Taken
1 Month to Due Date	13-Sep-2001	Reminder	19-Jul-2002
3 Month Due Date	13-Oct-2001	Due Date	19-Jul-2002
1st Extension	13-Nov-2001	Reminder	19-Jul-2002
2nd Extension	13-Dec-2001	Reminder	19-Jul-2002
2 Weeks to Final Date	28-Dec-2001	Due Date	19-Jul-2002
6 Month Final Date	13-Jan-2002	Final	19-Jul-2002

Remarks:

ABANDON PER RED CARD AND NEC LTTR OF 07/27/01 ALLOW TIME
TO RUN ON FOA UNTIL DDD THEN CLOSE ACTION

User ID: Paula**Date Created:** 18-Jul-2001**Last Update:** 19-Jul-2002

Actions Due

11-Sep-2002

Case Number: 00280448A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 02-Feb-1999 **Status :** ISSUED**Action Type:** US-ALLOWANCE**Action Base Date:** 13-Aug-2001**Response sent date:** 22-Aug-200

Action(s) Due	Due Date	Indicator	Taken
ISSUE FEE DUE	13-Sep-2001	Reminder	22-Aug-2001
ISSUE FEE DUE	13-Oct-2001	Due Date	22-Aug-2001
ISSUE FEE DUE	13-Nov-2001	Final	22-Aug-2001
6 MONTH CALL BACK	22-Feb-2002	Due Date	

Remarks:

issue fee and drawings send to Forman 8/22/01

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 28-Aug-2001

Actions Due

11-Sep-2002

Case Number: 00280448A**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 02-Feb-1999**Status :** ISSUED**Action Type:** US-ALLOWABILITY**Action Base Date:** 13-Aug-2001**Response sent date:** 22-Aug-200

Action(s) Due	Due Date	Indicator	Taken
2MON DRAWING	13-Oct-2001	Due Date	22-Aug-2001
3MON DRWNG	13-Nov-2001	Final	22-Aug-2001

Remarks:

issue fee and drawings send to Forman 8/22/01

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 28-Aug-2001

Actions Due

11-Sep-2002

Case Number: 00280420PA**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 04-Oct-2000**Status :** ISSUED**Action Type:** US-ALLOWANCE**Action Base Date:** 13-Aug-2001**Response sent date:** 22-Aug-200

Action(s) Due	Due Date	Indicator	Taken
ISSUE FEE DUE	13-Sep-2001	Reminder	22-Aug-2001
ISSUE FEE DUE	13-Oct-2001	Due Date	22-Aug-2001
ISSUE FEE DUE	13-Nov-2001	Final	22-Aug-2001
6 MONTH CALL BACK	22-Feb-2002	Due Date	

Remarks:

issue fee and drawings send to Forman 8/22/01

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 28-Aug-2001

Actions Due

11-Sep-2002

Case Number: 00280420PA**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 04-Oct-2000 **Status :** ISSUED**Action Type:** US-ALLOWABILITY**Action Base Date:** 13-Aug-2001**Response sent date:** 22-Aug-200

Action(s) Due	Due Date	Indicator	Taken
2MON DRAWING	13-Oct-2001	Due Date	22-Aug-2001
3MON DRWNG	13-Nov-2001	Final	22-Aug-2001

Remarks:

issue fee and drawings send to Forman 8/22/01

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 28-Aug-2001

Actions Due

11-Sep-2002

Case Number: 02940069A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 12-Mar-1999 **Status :** ISSUED**Action Type:** US-ALLOWANCE**Action Base Date:** 13-Aug-2001**Response sent date:**

Action(s) Due	Due Date	Indicator	Taken
ISSUE FEE DUE	13-Sep-2001	Reminder	
ISSUE FEE DUE	13-Oct-2001	Due Date	
ISSUE FEE DUE	13-Nov-2001	Final	

User ID: JONG**Date Created:** 15-Aug-2001**Last Update:** 15-Aug-2001

Actions Due

11-Sep-2002

Case Number: 02940069A**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 12-Mar-1999**Status :** ISSUED**Action Type:** US-ALLOWABILITY**Action Base Date:** 13-Aug-2001**Response sent date:**

Action(s) Due	Due Date	Indicator	Taken
2MON DRAWING	13-Oct-2001	Due Date	
3MON DRWNG	13-Nov-2001	Final	

User ID: JONG**Date Created:** 15-Aug-2001**Last Update:** 15-Aug-2001

Actions Due

11-Sep-2002

Case Number: 06920001A**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 14-Jun-2001**Status :** Sold/trans**Action Type:** US-MISSING PART**Action Base Date:** 13-Aug-2001**Response sent date:** 14-Sep-2001

Action(s) Due	Due Date	Indicator	Taken
MISSING PARTS	13-Sep-2001	Reminder	14-Sep-2001
MISSING PARTS	13-Oct-2001	Due Date	14-Sep-2001
1 MON EXTENSION	13-Nov-2001	Reminder	14-Sep-2001
2 MON EXTENSION	13-Dec-2001	Reminder	14-Sep-2001
3 MON EXTENSION	13-Jan-2002	Reminder	14-Sep-2001
4 MON EXTENSION	13-Feb-2002	Final	14-Sep-2001

User ID: Carrie**Date Created:** 15-Aug-2001**Last Update:** 19-Sep-2001

Actions Due

11-Sep-2002

Case Number: 03830031A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 01-Sep-1999 **Status :** ISSUED**Action Type:** US-3 MONTH OFFICE ACTION**Action Base Date:** 13-Aug-2001**Response sent date:** 19-Dec-200

Action(s) Due	Due Date	Indicator	Taken
1 Month to Due Date	13-Oct-2001	Reminder	19-Dec-2001
3 Month Due Date	13-Nov-2001	Due Date	19-Dec-2001
1st Extension	13-Dec-2001	Reminder	19-Dec-2001
2nd Extension	13-Jan-2002	Reminder	19-Dec-2001
2 Weeks to Final Date	28-Jan-2002	Due Date	19-Dec-2001
6 Month Final	13-Feb-2002	Final	19-Dec-2001

User ID: Carrie**Date Created:** 29-Aug-2001**Last Update:** 11-Feb-2002

Actions Due

11-Sep-2002

Case Number: 04460007A**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 17-Mar-2000**Status :** Sold/trans**Action Type:** US-3 MONTH OFFICE ACTION**Action Base Date:** 13-Sep-2001**Response sent date:** 10-Jan-2002

Action(s) Due	Due Date	Indicator	Taken
1 Month to Due Date	13-Nov-2001	Reminder	10-Jan-2002
3 Month Due Date	13-Dec-2001	Due Date	10-Jan-2002
1st Extension	13-Jan-2002	Reminder	10-Jan-2002
2nd Extension	13-Feb-2002	Reminder	10-Jan-2002
2 Weeks to Final Date	28-Feb-2002	Due Date	10-Jan-2002
6 Month Final	13-Mar-2002	Final	10-Jan-2002

User ID: Paula**Date Created:** 14-Sep-2001**Last Update:** 10-Jan-2002

Actions Due

11-Sep-2002

Case Number: 02230028A**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 30-Jul-2001**Status :** Published**Action Type:** Not of Omitted Items**Action Base Date:** 13-Sep-2001**Response sent date:** 24-Sep-2001

Action(s) Due	Due Date	Indicator	Taken
Not of Omitted Items	06-Oct-2001	Reminder	24-Sep-2001
Not of Omitted Items	30-Oct-2001	Due Date	24-Sep-2001
Not of Omitted Items DDD	13-Nov-2001	Final	24-Sep-2001
Not of Omitted Follow Up Date	24-Mar-2002	Due Date	24-Sep-2001

Remarks:

THIS ACTION IS NOT EXTENDIBLE DDD IS NOVEMBER 13, 2001

User ID: Carrie**Date Created:** 17-Sep-2001**Last Update:** 28-Sep-2001

Actions Due

11-Sep-2002

Case Number: 02890034A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 30-Jul-2001 **Status :** Pending**Action Type:** Not. To file Corr. App.**Action Base Date:** 13-Sep-2001**Response sent date:** 15-Oct-2001

Action(s) Due	Due Date	Indicator	Taken
Not. To File Corr. App.	13-Oct-2001	Reminder	15-Oct-2001
Not. To File Corr. App.	28-Oct-2001	Due Date	15-Oct-2001
Not. To File Corr. App.	13-Nov-2001	Final	15-Oct-2001
Not. To File Corr. App. -1 eot	13-Dec-2001	Due Date	15-Oct-2001
Not. To File Corr. App. -2 eot	13-Jan-2002	Due Date	15-Oct-2001
Not. To File Corr. App. -3 eot	13-Feb-2002	Due Date	15-Oct-2001
Not. To File corr. App. -4 eot	13-Mar-2002	Final	15-Oct-2001
Not. To file Co Follow Up Date	15-Apr-2002	Due Date	10-Apr-2002

User ID: Paula**Date Created:** 17-Sep-2001**Last Update:** 10-Apr-2002

Actions Due

11-Sep-2002

Case Number: 00280544A**Country:** US**SubCase:****Application #:** [REDACTED] United States of America**Filing Date:** 09-Jun-1999 **Status :** Sold/trans**Action Type:** US-3 MONTH OFFICE ACTION**Action Base Date:** 13-Sep-2001**Response sent date:** 10-Dec-200

Action(s) Due	Due Date	Indicator	Taken
1 Month to Due Date	13-Nov-2001	Reminder	10-Dec-2001
3 Month Due Date	13-Dec-2001	Due Date	10-Dec-2001
1st Extension	13-Jan-2002	Reminder	10-Dec-2001
2nd Extension	13-Feb-2002	Reminder	10-Dec-2001
2 Weeks to Final Date	28-Feb-2002	Due Date	10-Dec-2001
6 Month Final	13-Mar-2002	Final	10-Dec-2001

User ID: Carrie**Date Created:** 19-Sep-2001**Last Update:** 27-Dec-2001

Actions Due

11-Sep-2002

Case Number: 02090034A**Country:** US**SubCase:****Application #:** [REDACTED]

United States of America

Filing Date: 16-Sep-1998**Status :** ISSUED**Action Type:** US-3 MONTH OFFICE ACTION**Action Base Date:** 13-Sep-2001**Response sent date:** 21-Nov-200

Action(s) Due	Due Date	Indicator	Taken
1 Month to Due Date	13-Nov-2001	Reminder	21-Nov-2001
3 Month Due Date	13-Dec-2001	Due Date	21-Nov-2001
1st Extension	13-Jan-2002	Reminder	21-Nov-2001
2nd Extension	13-Feb-2002	Reminder	21-Nov-2001
2 Weeks to Final Date	28-Feb-2002	Due Date	21-Nov-2001
6 Month Final	13-Mar-2002	Final	21-Nov-2001

User ID: Carrie**Date Created:** 19-Sep-2001**Last Update:** 11-Dec-2001

EXHIBIT E

2001 317th day - 48 days follow

TUESDAY

13

NOVEMBER

09/266,735

0294 0069AA

10/30/01 IF DDD

09/241,991

00280448AA

8/22 IF DDD

09/679,033

00280420PA

10/4 IF DDD

~~Stanley Fudges~~ 0069300020A MWIF DDD

03830031AA

3 MO DA

02230028AA

2 MO MP

EXHIBIT F

EXHIBIT G

CLIENT/MATTER 620150a

INVENTOR S. Yoshinaka CLIENT NUMBER 00000000

INVENTOR: 14-12-99 CLIENT: Maxxama FILE NUMBER: 201

FILING DATE 12/19/2019 GROUP 1175-115
ATTY. 1175-115

SERIAL NO. 24/28/277 GROUP 175-15
Portuguese telephone #15
 CLIENT REF. 175-15

10-10-68
DATE REC'D IN OFFICE

ASSIGNEE	DATE REC'D IN OFFICE	SPECIAL REMARKS
Dick	12-2-58	

[illegible]

EXHIBIT H

DOCKETING PROCEDURES AT WHITHAM, CURTIS & CHRISTOFFERSON, P.C.

Whitham, Curtis & Christofferson, PC has developed extensive docketing procedures with multiple redundancy to assure that important dates are not missed and that client's have ample time to provide instructions.

Whitham, Curtis & Christofferson, PC has committed the following resources to docketing functions:

- 1) Docketing Clerk – sole function is to manage and track the docket; primary source for computer entry of docketing information and generation of monthly, bi-monthly and daily docketing reports.
- 2) One partner who is responsible for overseeing all docketing operations – currently Michael Whitham.
- 3) Office Manager – responsible for handwritten docketing ledger entries.
- 4) Computer database dedicated to docketing. Developed and supported by Computer Packages, Inc. (brief description attached). The system runs on a WAN where each secretary and several attorneys have access for reviewing docketing information. Data entry is accomplished by the Docketing Clerk and Office Manager. Computer system is connected to uninterrupted power supply and all information is backed up daily. Total amount invested in software and hardware attributable to the docketing system is over \$100,000.
- 5) Card and form based procedures followed by secretaries and attorneys
- 6) Daily filing ledger and docketing ledger

WHITHAM, CURTIS & CHRISTOFFERSON PERFORMS
THE FOLLOWING PROCEDURES:

- 1) New applications
 - Reviewed by a partner
 - The application is assigned an internal tracking number for docketing purposes and a client/matter number for billing purposes
 - File folder is prepared
 - Docketing Clerk enters application information into computer docketing system including 35 USC 119 priority dates and dates that may be specified in instructions, inventor information, assignee information, etc.
 - Filing of new application is entered in daily filing book.
 - application is filed by courier at the attorney's window of the USPTO with a double receipt.
 - One receipt is stamped at the USPTO and immediately returned by courier and is then checked against daily filing book
 - One receipt is mailed from USPTO and is then catalogued numerically by docketing number;
- 2) Docketing Office Actions
 - Mail is opened and stamped "received" by Docketing Clerk;
 - Response deadlines are entered into computer system by Docketing Clerk;
 - Appropriate reminder deadlines for response are automatically generated by computer system. Reminder deadlines have been selected by Whitham, Curtis & Christofferson for each type of Office Action provided by the USPTO
 - Docketing Clerk enters Office Action on docketing card attached to Action; mail is then forwarded to Office Manager
 - Response deadlines are entered in handwritten docketing ledger book by Office Manager
 - Both shortened statutory response deadlines and redundant statutory deadlines are entered; concurrently the computer docket system entries made by the Docketing Clerk are checked by the Office Manager..
 - Office Action is returned to Docketing Clerk who associates Action with correct file and forwards to attorney assigned to file;
 - Responsible attorney and supporting secretary keep separate card files and calendars for response deadlines.
- 3) Office Actions - when response is filed
 - Response is prepared by responsible attorney;
 - Supporting secretary enters response into filing book and receipt is kept in back of book;
 - response is filed with USPTO using double receipt system discussed above

with New applications;

- first receipt is compared to copy of receipt kept in filing book and then clipped into file;
- second receipt is mailed from USPTO stamped; this receipt is then catalogued numerically and kept in card files.
- secretary then enters response information on filing card which is handed to Office Manager who then enters the response filing date in computer system to close out action.
- simultaneously Office Manager checks the manual ledger book and crosses off entries for that response.

4) Office Actions - allowances

- Allowance and Allowability is received and logged in using methods in item 2) above.
- file is forwarded to attorney responsible for case and is reviewed and reported to client
- attorney completes Allowance checklist to ensure file is in proper order for issuance;
 - checklist confirms such things as the filing of formal drawings, submission of priority documents; assignment recordations; authorization for divisional and continuation applications, etc.
 - checklist is then attached to inside flap of file folder.

5) Redundant checks

- Docketing Clerk generates monthly, bi-monthly and daily dockets for each attorney and his or her supporting secretary using computer database reports. The printouts provide information such as type of action, due date and reminders for upcoming due dates;
- Docketing Clerk generates daily dockets the afternoon prior to the report day for each attorney and his or her supporting secretary which identifies all deadlines for the next day.
- Partner, Office Manager and Docketing Clerk check the daily reports and confirm appropriate action has been performed or will be completed, extended, etc.
- Office Manager checks the manual ledger book for any final, non-extendable due dates for the next day and alerts the attorney and supporting secretary; the secretary and/or attorney at that time must report to Office Manager as to the status of file, i.e., abandoned, allowed, continued, etc.
- Secretary and attorney check their daily card docket to identify any upcoming deadlines or reminders;

EXHIBIT I

CaseNumber	ActionType	DateCreated
01640109TD	NOTICE OF ENTITLEMENT	8/14/2001
06200003AA	US-3 MONTH OFFICE ACTION	8/14/2001
00750451AA	FILING RECEIPT	8/14/2001
00110045AA	Application Status Check	8/14/2001
00110045AA	FOREIGN FLNG REM 10 MO	8/14/2001
00110045AA	FOREIGN FLNG REM 11 MO	8/14/2001
00110045AA	FOREIGN FLNG REM 9 MO	8/14/2001
00110045AA	Information Disclosure Stmt	8/14/2001
00110045AA	Tax 11.5	8/14/2001
00110045AA	Tax 3.5	8/14/2001
00110045AA	Tax 7.5	8/14/2001
00110045AA	Foreign Filing	8/14/2001
06550001AA	FILING RECEIPT	8/14/2001
06550001AA	US-MISSING PART	8/14/2001
02290002TH	Req for Exam	8/14/2001
01480060AA	IDS DUE	8/14/2001
00280448AA	US-ALLOWABILITY	8/15/2001
00280448AA	US-ALLOWANCE	8/15/2001
00280420PA	US-ALLOWABILITY	8/15/2001
00280420PA	US-ALLOWANCE	8/15/2001
02940069AA	US-ALLOWABILITY	8/15/2001
02940069AA	US-ALLOWANCE	8/15/2001
06860001AA	US-3 MONTH OFFICE ACTION	8/15/2001
04890013AA	US-3 MONTH OFFICE ACTION	8/15/2001
02410161AA	DRAFT DUE TO FOREIGN CLIEN	8/15/2001
02410161AA	US-FINAL OFFICE ACTION	8/15/2001
00380343AA	US-FINAL OFFICE ACTION	8/15/2001
01910029AA	DRAFT DUE TO FOREIGN CLIEN	8/15/2001
01910029AA	US-3 MONTH OFFICE ACTION	8/15/2001
00280560AA	FILING RECEIPT	8/15/2001
00380223CA	FILING RECEIPT	8/15/2001
06920001AA	FILING RECEIPT	8/15/2001
06920001AA	US-MISSING PART	8/15/2001
01440118AA	FILING RECEIPT	8/16/2001
07100002AA	Not. To file Corr. App.	8/16/2001
02940129TA	PCT WRITTEN OPN	8/17/2001
04480016AA	FILING RECEIPT	8/17/2001
04480016AA	US-MISSING PART	8/17/2001
02560028TA	PCT INV TO CORR DEFECT - 1 M	8/17/2001
01240171AA	FILING RECEIPT	8/17/2001
01640271PR	FOREIGN FLNG REM 10 MO	8/17/2001
01640271PR	FOREIGN FLNG REM 11 MO	8/17/2001
01640271PR	FOREIGN FLNG REM 9 MO	8/17/2001
01640271PR	US FILING DUE REM 10 MO	8/17/2001
01640271PR	US FILING DUE REM 9 MO	8/17/2001
01640271PR	US FLNG DUE REM 11 MO	8/17/2001
01640271PR	File Application	8/17/2001

CaseNumber	ActionType	DateCreated
01640271PR	Foreign Filing	8/17/2001
01640271PR	FILING RECEIPT	8/17/2001
01640276PR	FOREIGN FLNG REM 10 MO	8/21/2001
01640276PR	FOREIGN FLNG REM 11 MO	8/21/2001
01640276PR	FOREIGN FLNG REM 9 MO	8/21/2001
01640276PR	US FILING DUE REM 10 MO	8/21/2001
01640276PR	US FILING DUE REM 9 MO	8/21/2001
01640276PR	US FLNG DUE REM 11 MO	8/21/2001
01640276PR	File Application	8/21/2001
01640275PR	FOREIGN FLNG REM 10 MO	8/21/2001
01640275PR	FOREIGN FLNG REM 11 MO	8/21/2001
01640275PR	FOREIGN FLNG REM 9 MO	8/21/2001
01640275PR	US FILING DUE REM 10 MO	8/21/2001
01640275PR	US FILING DUE REM 9 MO	8/21/2001
01640275PR	US FLNG DUE REM 11 MO	8/21/2001
01640275PR	File Application	8/21/2001
01640275PR	Foreign Filing	8/21/2001
00280687AA	APPLICATION STATUS CHECK	8/21/2001
00280687AA	FILING RECEIPT	8/21/2001
00280687AA	INFORMATION DISCLOSURE	8/21/2001
05960001CA	Application Status Check	8/21/2001
05960001CA	Information Disclosure Stmtnt	8/21/2001
01240155AA	US-30DAY ACTION	8/21/2001
02940100TE	Deferred Exam	8/21/2001
01750025AA	APPLICATION STATUS CHECK	8/21/2001
01750025AA	FILING RECEIPT	8/21/2001
01750025AA	INFORMATION DISCLOSURE	8/21/2001
02940171BA	Application Status Check	8/21/2001
02940171BA	Information Disclosure Stmtnt	8/21/2001
03940002PA	Application Status Check	8/21/2001
03940002PA	FOREIGN FLNG REM 10 MO	8/21/2001
03940002PA	FOREIGN FLNG REM 11 MO	8/21/2001
03940002PA	FOREIGN FLNG REM 9 MO	8/21/2001
03940002PA	Information Disclosure Stmtnt	8/21/2001
03940002PA	Foreign Filing	8/21/2001
00750312BA	US-FINAL OFFICE ACTION	8/21/2001
01480064AA	IDS DUE	8/21/2001
00750444AA	APPLICATION STATUS CHECK	8/21/2001
00750444AA	FILING RECEIPT	8/21/2001
00750444AA	INFORMATION DISCLOSURE	8/21/2001
01240142AA	APPLICATION STATUS CHECK	8/21/2001
01240142AA	FILING RECEIPT	8/21/2001
01240142AA	INFORMATION DISCLOSURE	8/21/2001
01240142AA	TAX 11.5	8/21/2001
01240142AA	TAX 3.5	8/21/2001
01240142AA	TAX 7.5	8/21/2001
05030019AA	APPLICATION STATUS CHECK	8/21/2001

CaseNumber	ActionType	DateCreated
05030019AA	FILING RECEIPT	8/21/2001
05030019AA	INFORMATION DISCLOSURE	8/21/2001
03830040AA	APPLICATION STATUS CHECK	8/21/2001
03830040AA	FILING RECEIPT	8/21/2001
03830040AA	INFORMATION DISCLOSURE	8/21/2001
07040001AA	Notice of Incomplete Reply	8/21/2001
00280526AA	US-3 MONTH OFFICE ACTION	8/21/2001
03940017TA	PCT INV TO CORR DEFECT - 1 M	8/21/2001
04340004TA	PCT INV TO CORR DEFECT - 1 M	8/21/2001
02940100TD	File Claim	8/21/2001
00990063AA	DRAFT DUE TO FOREIGN CLIEN	8/21/2001
00990063AA	US-3 MONTH OFFICE ACTION	8/21/2001
00380334AA	APPLICATION STATUS CHECK	8/21/2001
00380334AA	INFORMATION DISCLOSURE	8/21/2001
00380334AA	TAX 11.5	8/21/2001
00380334AA	TAX 3.5	8/21/2001
00380334AA	TAX 7.5	8/21/2001
02150021AA	APPLICATION STATUS CHECK	8/21/2001
02150021AA	INFORMATION DISCLOSURE	8/21/2001
02150021AA	TAX 11.5	8/21/2001
02150021AA	TAX 3.5	8/21/2001
02150021AA	TAX 7.5	8/21/2001
01300037AA	APPLICATION STATUS CHECK	8/21/2001
01300037AA	INFORMATION DISCLOSURE	8/21/2001
01300037AA	TAX 11.5	8/21/2001
01300037AA	TAX 3.5	8/21/2001
01300037AA	TAX 7.5	8/21/2001
00770036AA	DRAFT DUE TO FOREIGN CLIEN	8/22/2001
00770036AA	US-3 MONTH OFFICE ACTION	8/22/2001
01460022AA	DRAFT DUE TO FOREIGN CLIEN	8/22/2001
01460022AA	US-FINAL OFFICE ACTION	8/22/2001
00280527AA	US-2 Month Office ActionFINAL	8/22/2001
02940107AA	US-ALLOWABILITY	8/22/2001
02940107AA	US-ALLOWANCE	8/22/2001
02940147AA	US-3 MONTH OFFICE ACTION	8/22/2001
06200003TA	PCT SEARCH REPORT	8/22/2001
01640260AA	FILING RECEIPT	8/22/2001
01640269AA	FILING RECEIPT	8/22/2001
01640269AA	US-MISSING PART	8/22/2001
00240025BB	Application Status Check	8/22/2001
00240025BB	Information Disclosure Stmtnt	8/22/2001
00240025BB	Tax 11.5	8/22/2001
00240025BB	Tax 3.5	8/22/2001
00240025BB	Tax 7.5	8/22/2001
00240025BB	Foreign Filing	8/22/2001
05400007AA	FILING RECEIPT	8/22/2001
01240172AA	FILING RECEIPT	8/22/2001

CaseNumber	ActionType	DateCreated
00280561AA	US-3 MONTH OFFICE ACTION	8/22/2001
03940002AA	Application Status Check	8/22/2001
03940002AA	FOREIGN FLNG REM 10 MO	8/22/2001
03940002AA	FOREIGN FLNG REM 11 MO	8/22/2001
03940002AA	FOREIGN FLNG REM 9 MO	8/22/2001
03940002AA	Information Disclosure Stmt	8/22/2001
03940002AA	Tax 11.5	8/22/2001
03940002AA	Tax 3.5	8/22/2001
03940002AA	Tax 7.5	8/22/2001
03940002AA	Foreign Filing	8/22/2001
02410242AA	Not. To file Corr. App.	8/22/2001
00280438AA	APPLICATION STATUS CHECK	8/22/2001
00280438AA	INFORMATION DISCLOSURE	8/22/2001
00280438AA	TAX 11.5	8/22/2001
00280438AA	TAX 3.5	8/22/2001
00280438AA	TAX 7.5	8/22/2001
04340004TA	1st Opinion from PCT	8/22/2001
04340004TA	2nd Opinion from PCT	8/22/2001
04340004TA	Chapter I Due(Nat'l Filing)	8/22/2001
04340004TA	Chapter II Due (Nat'l Filing)	8/22/2001
04340004TA	Examination Report from PCT	8/22/2001
04340004TA	Final Response to Opinions	8/22/2001
04340004TA	Letter to Designated Countries	8/22/2001
04340004TA	PCT Application Due	8/22/2001
04340004TA	Priority Document Due	8/22/2001
04340004TA	Reminder one month due	8/22/2001
04340004TA	Search Report Due (from WIPO)	8/22/2001
04340004TA	WIPO completion Date	8/22/2001
04340004TA	due date	8/22/2001
04340004TA	final	8/22/2001
04340004TA	reminder	8/22/2001

EXHIBIT J

NOVEMBER

14

WEDNESDAY

2001 318th day - 47 days follow

02940104TA

05740008MA MW

06860001AA

05640007AA

00990063AA

00280526AA

Natl Stage DID 12/14

Start Use DDD

3mo 0A

3mo 0A

3mo 0A

3mo 0A

w

2001 319th day - 46 days follow

THURSDAY 15 NOVEMBER

D 12/14	██████████	MW	07080002ME	6 MO DDD OA
*)	██████████	MW	07080002MA	6 MO DDD OA
	██████████	8/15	██████████	15 MA DDD OA
	██████████	5/29	██████████	6 MO DDD OA
	██████████		08830003MA	08
	██████████		00280453AA	3 MO OA

NOVEMBER

16

FRIDAY

2001 320th day - 45 days follow

2

[REDACTED]

6/21

D3680031aa

lamoDD

[REDACTED]

01240157AA

3 MO 0A

-

6

6

6

0

6

W

2001 321st day - 44 days follow

SATURDAY

17

NOVEMBER

A

[REDACTED]

~~Abandoned 038 0351111~~

MW CASH 2077000 / PH 8/2/01 MO DDL REF
MOT CONT

01460022AA	3 MO FOA
00280457AA	3 MO OA
00280687AA	2 MO MP
02410248AA	2 MO NCAApp
07100005AA	2 MO NCAApp
02940132TA	Writ Opinion

~~01460022AA 11/13~~

NOVEMBER

18

SUNDAY

2001 322nd day - 43 days follow

[REDACTED]

5/29/01

0015073844

1000000000

100

MW case

11/19

0028038574

1000000000

100

7/10

0028038574

1000000000

100

00280689AA

1000000000

044800147A


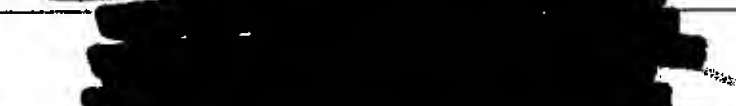
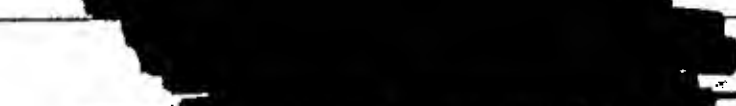
Unit Operation 2 MD DDD

2001 323rd day - 42 days follow

MONDAY

19

NOVEMBER

	11/19	02440164M	Dark Corr DDD
	11/19	02440164M	Dark Corr DDD
	11/19	02440164M	Dark Corr DDD

TA
2 MO
21 DDD

NOVEMBER

20

TUESDAY

2001 324th day - 41 days follow

[REDACTED]
[REDACTED]

MW

229.03 GER

00770036AA

State App test DDD

3 MO OF

09

09

09

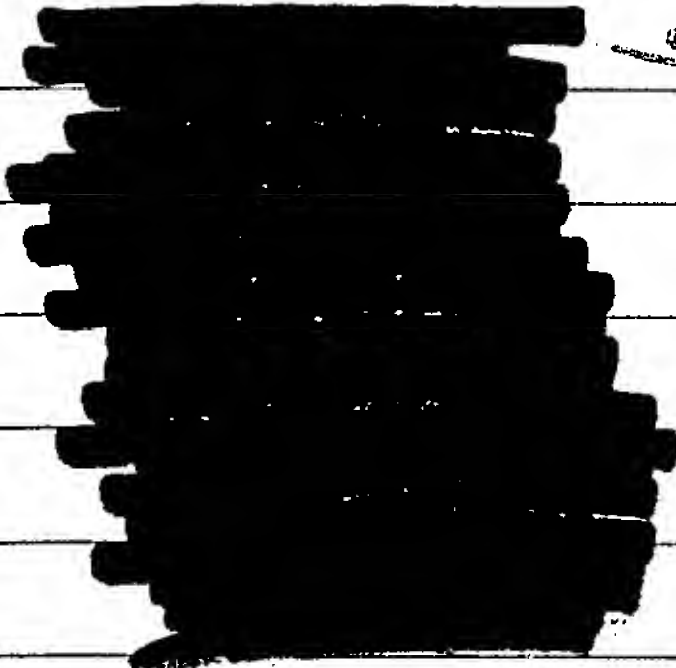
2001 325th day - 40 days follow

WEDNESDAY

21

NOVEMBER

DDD



~~8/21/01 04/29 0010AA~~

~~6 MO DAD FCA~~

02940147AA

3 MO OA

00280861AA

3 MO OA

W798019

12/21 12/21 12/21

12/21 12/21 12/21

12/21 12/21 12/21

NOVEMBER

22

THURSDAY

2001 326th day - 39 days follow
Thanksgiving Day (US)

[REDACTED]

030600045AA 116

~~6 MO DDD MIA~~

05860002AA

6 MO DDD FOA

9/24 04340002AA

~~6 MO DDD FOA~~

9/27 03830019AA

~~6 MO DDD FOA~~

7/30 04820001AA

6 MO DDD FOA

8/9 00160055AA

6 MO DDD FOA

~~00210000AA~~

~~6 MO DDD FOA~~

00280428AA

3 MO FOA

2001 327th day - 38 days follow

FRIDAY

23

NOVEMBER

21/11	XXXXXXXXXX	11/12	00280440117	6 MO DDD OF
7	XXXXXXXXXX	8/10	02100034AA	6 MO DDD OF
7	XXXXXXXXXX	4/3	00280371117	6 MO DDD OF
A	XXXXXXXXXX	8/23	00770037AA	6 MO DDD OF
20A	XXXXXXXXXX	8/10	01590052CA	6 MO DDD
20A	XXXXXXXXXX		02410157AA	3 MO OA
	XXXXXXXXXX		03280053AA	IF DDD
	XXXXXXXXXX		01590052CA	3 MO OA